



## Resource Allocation Sub (Policy and Resources) Committee

**Date:** THURSDAY, 14 MARCH 2019  
**Time:** 12.00noon  
**Venue:** COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL  
**Members:** Deputy Catherine McGuinness (Chair)  
Jeremy Mayhew (Deputy Chairman)  
Sir Mark Boleat  
Deputy Keith Bottomley  
Deputy Jamie Ingham Clark  
Henry Colthurst  
Simon Duckworth  
Marianne Fredericks  
Christopher Hayward  
Deputy Edward Lord  
Deputy Joyce Nash  
Deputy Dr Giles Shilson  
Deputy Tom Sleigh  
Alderman William Russell  
Sir Michael Snyder  
Deputy John Tomlinson  
Alderman Sir David Wootton

**Enquiries:** Gregory Moore  
tel. no.: 020 7332 1399  
gregory.moore@cityoflondon.gov.uk

Lunch will be served in the Guildhall Club at 1pm  
N.B. Part of this meeting may be subject to audio or visual recording

John Barradell  
Town Clerk and Chief Executive

# AGENDA

1. **APOLOGIES**

2. **MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES**

- a) To agree the public minutes of the Sub-Committee meeting held on 17 January 2019.

**For Decision**

(Pages 1 - 4)

- b) To note the public minutes of the Joint Meeting of the Resource Allocation and Efficiency and Performance Sub-Committees held on 17 January 2019.

**For Information**

(Pages 5 - 6)

4. **FUNDAMENTAL REVIEW: DESIGN PRINCIPLES AND GOVERNANCE**

Joint report of the Town Clerk and the Chamberlain.

**For Decision**

(Pages 7 - 12)

5. **PRIORITIES INVESTMENT POT (PIP) - BIDS FOR CONSIDERATION**

Joint report of the Town Clerk and the Chamberlain.

**For Decision**

(Pages 13 - 18)

6. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

7. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

8. **EXCLUSION OF THE PUBLIC**

MOTION – That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of the Schedule 12A of the Local Government Act.

## Part 2 – Non-Public Agenda

9. **NON-PUBLIC MINUTES**

- a) To agree the non-public minutes of the Sub-Committee meeting held on 17 January 2019.

**For Decision**

(Pages 19 - 20)

- b) To note the non-public minutes of the Joint Meeting of the Resource Allocation and Efficiency and Performance Sub-Committees held on 17 January 2019.

**For Information**  
(Pages 21 - 24)

10. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**
11. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**
12. **CONFIDENTIAL MINUTES**  
To agree the confidential minutes of the Sub-Committee meeting held on 17 January 2019.

**For Decision**

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## RESOURCE ALLOCATION SUB (POLICY AND RESOURCES) COMMITTEE

Thursday, 17 January 2019

Minutes of the meeting of the Resource Allocation Sub (Policy and Resources) Committee held at Committee Rooms, 2nd Floor, West Wing, Guildhall on Thursday, 17 January 2019 at 12.15 pm

### Present

#### Members:

Deputy Catherine McGuinness (Chairman)	Marianne Fredericks
Jeremy Mayhew (Deputy Chairman)	Deputy Edward Lord
Sir Mark Boleat	Deputy Dr Giles Shilson
Deputy Keith Bottomley	Sir Michael Snyder
Deputy Jamie Ingham Clark	Deputy John Tomlinson
Simon Duckworth	

#### In attendance:

Graham Packham

#### Officers:

John Barradell	- Town Clerk and Chief Executive
Angela Roach	- Assistant Town Clerk & Director of Members' Services
Peter Lisley	- Director of Major Projects (Town Clerk's Department)
Bob Roberts	- Director of Communications (Town Clerk's Department)
Nick Bodger	- Town Clerk's Department
Eugenie de Naurois	- Town Clerk's Department
Gregory Moore	- Town Clerk's Department
Peter Kane	- Chamberlain
Caroline Al-Beyerty	- Deputy Chamberlain
Paul Wilkinson	- City Surveyor

#### 1. APOLOGIES

Apologies were received from Henry Colthurst, Christopher Hayward, Deputy Joyce Nash, Deputy Tom Sleight, Alderman William Russell, and Alderman Sir David Wootton.

#### 2. MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA

There were none.

#### 3. MINUTES

The public minutes of the meeting held on 13 December 2018 were approved.

#### 4. QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE

There were no questions.

5. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There were no urgent items.

6. **EXCLUSION OF THE PUBLIC**

RESOLVED – That under Section 100A(4) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of Schedule 12A of the Local Government Act.

Item No.	Paragraph No.
8-9	3
12-14	2, 3, 4

7. **NON-PUBLIC MINUTES**

The non-public minutes of the meeting held on 13 December 2018 were approved.

8. **GRESHAM COLLEGE FUNDING**

The Committee considered and approved a report of the Town Clerk concerning the funding arrangements for Gresham College.

9. **STRATEGIC PROPERTY ESTATE (CITY FUND & CITY'S ESTATE) - ANNUAL UPDATE & STRATEGY FOR 2019**

The Sub-Committee received a report of the City Surveyor presenting an annual update on the City's Strategic Property Estate.

10. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

There were no questions.

11. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

There were no urgent items.

12. **CONFIDENTIAL MINUTES**

The confidential minutes of the meeting held on 13 December 2018 were approved.

13. **GUILDHALL ART GALLERY: REQUEST FOR BUDGET ADJUSTMENT**

The Sub-Committee considered and approved a report of the Director of Major Projects concerning the Guildhall Art Gallery's budget.

14. **CONFIDENTIAL STAFFING ITEM, CITY SURVEYOR'S DEPARTMENT (PPG)**

The Sub-Committee considered and approved a report of the City Surveyor concerning a confidential staffing matter.

**The meeting ended at 12.45 pm**

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Chairman

**Contact Officer: Gregory Moore**  
**tel. no.: 020 7332 1399**  
**[gregory.moore@cityoflondon.gov.uk](mailto:gregory.moore@cityoflondon.gov.uk)**

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## JOINT MEETING OF THE RESOURCE ALLOCATION SUB (POLICY AND RESOURCES) COMMITTEE AND EFFICIENCY AND PERFORMANCE SUB (FINANCE) COMMITTEE WITH COMMITTEE CHAIRMEN

Thursday, 17 January 2019

Minutes of the meeting of the Resource Allocation Sub (Policy and Resources) Committee held at Committee Rooms, 2nd Floor, West Wing, Guildhall on Thursday, 17 January 2019 at 11.00 am

### Present

#### Members:

Deputy Catherine McGuinness (Chairman)	Deputy Edward Lord
Jeremy Mayhew (Deputy Chairman)	Sir Michael Snyder
Sir Mark Boleat	Deputy John Tomlinson
Deputy Keith Bottomley	Randall Anderson
Henry Colthurst	Alderman Robert Howard
Deputy Jamie Ingham Clark	Hugh Morris
Simon Duckworth	Deputy Philip Woodhouse
Marianne Fredericks	

#### In Attendance

Deputy Roger Chadwick  
Alderman Alison Gowman  
Michael Hudson  
Alderman Ian Luder  
Graham Packham  
Jeremy Simons  
John Scott (Chief Commoner)

#### Officers:

John Barradell	- Town Clerk and Chief Executive
Angela Roach	- Assistant Town Clerk & Director of Members' Services
Peter Lisley	- Director of Major Projects (Town Clerk's Department)
Bob Roberts	- Director of Communications (Town Clerk's Department)
Eugenie de Naurois	- Town Clerk's Department
Simon Latham	- Town Clerk's Department
Gregory Moore	- Town Clerk's Department
Peter Kane	- Chamberlain
Caroline Al-Beyerty	- Deputy Chamberlain
Philip Gregory	- Chamberlain's Department
Vic Annells	- Executive Director, Mansion House & Central Criminal Court
Gerry Kiefer	- Open Spaces Department

1. **APOLOGIES**

Apologies were received from Randall Anderson, Christopher Hayward, Paul Martinelli, Deputy Joyce Nash, Ian Seaton, Deputy Dr Giles Shilson, Deputy Tom Sleigh, Alderman William Russell, and Alderman Sir David Wootton.

2. **MEMBERS DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

Alderman Ian Luder and Deputy Edward Lord declared an interest in respect of item 6 as council tax payers. It was noted that both had sought and received dispensations to speak and vote on this issue.

3. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

There were no questions.

4. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

There were no urgent items.

5. **EXCLUSION OF THE PUBLIC**

RESOLVED – That under Section 100A(4) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part 1 of Schedule 12A of the Local Government Act.

Item No.	Paragraph No.
6	3

6. **OVERALL FINANCIAL POSITION AND MEDIUM-TERM FINANCIAL PLANNING**

Members received a report of the Chamberlain concerning the City Corporation's overall financial position and medium-term financial plan.

7. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE SUB-COMMITTEE**

There were no questions.

8. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE SUB-COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**

There were no urgent items.

**The meeting ended at 12.10 pm**

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Chairman

**Contact Officer: Gregory Moore**  
tel. no.: 020 7332 1399  
gregory.moore@cityoflondon.gov.uk

<b>Committee(s):</b> Resource Allocation Sub Committee Policy & Resources Committee	<b>Date:</b> 14 March 2019 14 March 2019
<b>Subject:</b> Fundamental Review: Design Principles and Governance	<b>Public</b>
<b>Report of:</b> Town Clerk & Chief Executive Chamberlain	
<b>Author:</b> Kate Smith, Head of Corporate Strategy & Performance Caroline Al-Beyerty, Deputy Chamberlain	<b>For Decision</b>

## Summary

The City of London Corporation has an ambitious programme of activity and projects to deliver a vibrant and thriving City, supporting a diverse and sustainable London within a globally-successful UK. However, in line with other public bodies, the City Corporation faces significant pressures from falling income. Members have requested a fundamental review be conducted of the City of London Corporation’s allocation of resources. The review seeks to provide Members with information in an accessible, timely manner, about how the City Corporation is currently allocating resources against Corporate Plan priorities so that Members can take decisions that ensure that the City Corporation is, in fact, spending according to corporate priorities, and that the organisation’s financial plans are sustainable in the medium term. This report proposes design principles for conducting the fundamental review and sets out officer governance

### Recommendations

It is recommended that Members:

- i. Agree to the design principles for the review, and;
- ii. Note the officer governance process.

## Main Report

### Background

1. The City of London Corporation has an ambitious programme of activity and projects to deliver a vibrant and thriving City, supporting a diverse and sustainable London within a globally-successful UK. However, in line with other public bodies, the City Corporation faces significant pressures from falling income. HM Government intends to change current funding mechanisms to reflect an increased emphasis on need and to reset the business rates retention system. In parallel with these external threats to revenue streams, both City Fund and City’s Cash will start to come under increasing pressure from the revenue costs of borrowing to fund the major projects already agreed by the Court; and cost pressures within and increasing demand for the delivery of current activities.

2. The City Corporation has benefited from a period of relative prosperity in recent years, with growth in both its income streams and asset holdings. However, going forward there are significant risks and a growing uncertainty. Revenue streams are likely to be under considerable pressure as the Government intends to change current funding mechanisms to reflect an increased emphasis on need and to reset the current business rates retention system:
  - **Spending Review** (potentially reporting November 2019) – there is unlikely to be significant additional government funding for local government or police, with the most likely outcome being a further squeeze.
  - The **Fair Funding Review** of local government funding is likely to shift resources away from London and an increased focus on need could have an effect on City funding.
  - **Police Funding Formula review** – this has been delayed but might well re-emerge after the Spending Review and carries significant risks.
  - **Business Rates** – danger of a double hit from a reset which will remove the City's recent growth receipts (forecast at £37m in 2019/20) in 2020/21 and further reforms of Business Rates could again have an effect from 2020/21. Consultation will begin in the summer but we have to put in place contingency plans on the assumption that these changes will be carried forward.
3. In parallel with the external revenue threats, the City Fund revenue budget will start to come under increasing pressure from the revenue costs of borrowing to fund the major projects, including the Museum of London and Fleet St Courts project.
4. Although the City Fund (non-Police) is forecast to be in surplus by £19m in 2019/20, this will be needed to strengthen the major projects reserve. And it can only be balanced, over the next four years, with the use of general fund reserves.
5. Additionally, the Police budget is forecast to be in substantial deficit, pre-mitigation, across the planning horizon, reducing City Fund General Fund Reserves substantially by 2022/23, unless robust mitigations are put in place. The Force is focused on closing the gap through efficiencies and service transformation.
6. Overall, City Fund faces substantial growing deficits over the planning period and the 10-year horizon. Local authority accounting rules do not allow receipts from the sale of assets to be used to support the revenue position. Moreover, there is a statutory requirement to balance the budget across the medium term taking one year with another.
7. Although City's Cash income streams are more stable and therefore more predictable, we are already drawing down on recent balance sheet growth to support the revenue position. In the immediate short-term the financial position is more benign, but both the medium term and the longer-term views are problematic, particularly if the City's Cash potential major projects (Markets Consolidation,

Centre for Music) start to ramp up. The medium-term financial budget position will therefore become increasingly challenging. The cumulative draw down on equities is £221m (including the planned £50m to finance the two Crossrail payments), when historically we would have drawn down £115m over the period. This represents a diminution of £106m of the net asset balance on the current balance sheet over the period.

## Summary Position

8. The scale of the challenges will become clearer during the next year, following the Spending Review and reviews of business rates and council tax, and will have an effect from 2020/21 onwards. The medium-term financial position is summarised in the table below. This excludes the impact of pipeline second tier capital projects, which are not currently allocated funding.

### **CITY FUND - COMBINED (Police and non-Police)**

	2018/19	2019/20	2020/21	2021/22	2022/23
<b>Surplus / (Deficit)</b>	29.3	26.6	(5.4)	(0.0)	3.7
Major project financing (net GLA contribution)	(10.0)	1.2	1.5	(2.9)	(8.3)
Budget uplift revenue requests	0	(8.0)	(7.6)	(7.6)	(7.6)
<b>City Fund- non-Police Surplus/ (Deficit)</b>	<b>19.3</b>	<b>19.8</b>	<b>(11.5)</b>	<b>(10.5)</b>	<b>(12.2)</b>
<b>Police Surplus/ (Deficit)</b>	<b>(0.4)</b>	<b>(0.1)</b>	<b>(2.4)</b>	<b>(3.6)</b>	<b>(4.4)</b>
<b>City Fund combined Surplus/ (Deficit)- post mitigation and Premium increase</b>	<b>18.9</b>	<b>19.7</b>	<b>(13.9)</b>	<b>(14.1)</b>	<b>(16.6)</b>
<b>Financed By:</b>					
General Fund Reserves- planned use	80.5	99.3	83.8	68.8	47.2
<b>Or savings requirement</b>			13.9	14.1	16.6

### **CITY'S CASH**

	2018/19	2019/20	2020/21	2021/22	2022/23
(Deficit)/ Surplus with planned equity drawdown	(11.6)	(2.9)	(2.4)	(2.6)	3.5
Major Project Financing	0	(4.5)	(7.5)	(9.3)	(12.4)
Budget uplift revenue requests	0	(5.3)	(4.8)	(3.7)	(3.4)
<b>City's Cash combined deficit</b>	<b>(11.6)</b>	<b>(12.7)</b>	<b>(14.7)</b>	<b>(15.6)</b>	<b>(12.3)</b>
<b>Financed by:</b>					
Savings requirement	11.6	12.7	14.7	15.6	12.3

9. Alternatively, the deficit could be financed through the use of reserves. Although there are no general fund reserves left, there is potential to draw down on the reserve currently invested in securities or property – with between £26m and £43m

available p.a. during the planning period before affecting the level of investments needed to generate sufficient revenue income to run the services.

## **Responding to the Challenge**

10. The recent adoption of a new Corporate Plan provides a prism through which to assess how resources are being allocated to deliver Member agreed policy priorities identified in this plan, both current activity and future projects. This is not just a means of addressing the medium-term financial challenge; it is also an opportunity for Members to decide how best to use resources to achieve a Corporate Plan with maximum impact, thereby ensuring that departmental budgets are fully aligned with and support corporate priorities within a sustainable medium-term financial framework. Moreover, the fundamental review provides an opportunity to reappraise spending across the City Corporation in a cross-cutting way, rather than just depending on the conventional approach of achieving efficiency savings through silos – be it by Service Committee or Department.

## **Design principles**

11. The following design principles are proposed for the fundamental review, in order to ensure that Members are given the information they need to assess how the City Corporation is currently allocating resources against Corporate Plan priorities.

- a) As this is a fundamental review of the organisation, everything is in scope: increasing income (e.g. tax increases, fees/charges); stopping or delaying work; major contracts / outsourcing; service transformation (e.g. via automation); using reserves; selling assets, and; changing the way projects (both capital and revenue) are funded and delivered.
- b) Members need to be able to make real choices – officers need to present options that, in aggregate, enable the funding deficits to be addressed and, where necessary, leave headroom for further resource allocation in respect of corporate priorities.
- c) Assumptions underpinning the projections, e.g. the anticipated Spending Review, Fair Funding Review and Rate Retention Review, will be made clear. Officers and Members will need to stand ready to reprioritise if any of the underpinning assumptions change.
- d) The City of London Police has its own version of a fundamental review underway in the form of the Transform Programme, which will be presenting options as part of a separate but linked process to enable Members to take a view on priorities across the range of Corporation and Policing activities.
- e) The Bridge House Estates (BHE) sits outside this review as BHE is a registered charity, governed by various statutory instruments and Charity Commission orders/schemes. Its governing documents, alongside specific laws relating to

charities, determine the use of funds held, hence such funds are separate from those of the Corporation and can only be used to further the stated objects of the charity.

- f) The steer provided at RASC Away Day will be used to produce prioritisation options that will be presented to P&R Committee (and other relevant Committees) for approval.

### **Governance process**

- 12. Decisions pertaining to the fundamental review will be taken by the Policy & Resources Committee and Resource Allocation Sub-Committee in the first instance, with input where appropriate from the Finance Committee given its responsibility for the City Corporation's Medium-Term Financial Plan (MTFP). The first milestone in the fundamental review is proposed to be the Resource Allocation Sub Committee (RASC) Away Day in July 2019 at which, as usual, Members will be asked to provide officers with a steer as to how they wish to prioritise use of resources going forward; to this end, Members will be presented at the Away Day with a range of options to balance both City Fund and City's Cash in the medium term. Subsequent to this discussion at RASC Away Day, proposals will be then presented to Committees and the Court of Common Council in the usual way.
- 13. The senior responsible officer (SRO) for the fundamental review is the Town Clerk & Chief Executive; the deputy SRO will be the Chamberlain. Oversight of the work will take place via Summit Group, with input from the Deputy Chamberlain, Head of Corporate Strategy & Performance, and Director of Communications. The Chairman and Deputy Chairman of RASC will be briefed regularly on the review's progress prior to the July Away Day.

### **Corporate and Strategic Implications**

- 14. The Corporate Plan, 2018-23, will be used as the strategic framework for this work.

### **Security Implications**

- 15. This is partially a risk driven exercise, so security implications will be covered in the process.

### **Public Sector Equality Duty**

- 16. Full analysis of selected options against this to follow in the due diligence work officers undertake after the RASC awayday.

### **Conclusion**

- 17. This paper sets out the governance process and proposed design principles for conducting the fundamental review so that Members can take decisions that ensure that the City Corporation is, in fact, spending according to corporate priorities, and that the organisation's financial plans are sustainable in the medium term.

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<b>Committee(s):</b>	<b>Date(s):</b>
Resource Allocation Sub-Committee	14 March 2019
<b>Subject:</b>	<b>Public</b>
Priorities Investment Pot (PIP) – Bids for Consideration	
<b>Report of:</b>	<b>For Decision</b>
Town Clerk and Chamberlain	
<b>Report Author(s)</b>	
Geoff Parnell, Head of Projects - Strategic Resources	

## Summary

The Court of Common Council approved the creation of a Priorities Investment Pot (PIP) in March 2018 to be used to provide additional funding to support priority front line services to meet new pressures and growth where there is clear demand and alignment with corporate plan priorities.

In the first three rounds of the process, 43 bids were received and 23 bids totalling £1,995,380 were approved by RASC at its March, July and September 2018 meetings.

There has been close collaboration in the preparation of all the submitted PIP bids. The fourth round has resulted in 13 new bids, which have been reviewed by the Town Clerk and Chamberlain and placed in the following categories:

- Recommended – 7 bids totalling £569,000 to be spent over the next two financial years
- Not Recommended/Consider alternate funding route – 6 bids totalling £658,000

If the recommended bids are agreed this would mean that a total of £2,564,380 of the 2018/19 budget would be committed.

As per the governance process for the PIP, the 7 recommended PIP bids are now presented to Members for approval.

### Recommendations

Members are asked to:

Approve the following recommended PIP bids, which would commit an additional £474,000 for 2019/20 and £95k for 2020/21 from the 2018/19 budget:

- Markets & Consumer Protection – Responsible Business Strategy – Air Quality – £110,000 (Appendix 1)
- Town Clerk’s - Artwork Acquisition (income protection/generation) – £14,000 (Appendix 2)
- Guildhall School – Online Digital Instrumental Teaching - £195,000 (Appendix 5)
- Community and Children Services – 4 bids
  - 1.) Dragon Café (Mental Health Group) – £80,000 (Appendix 6)
  - 2.) Social Worker and Systemic Practitioner – £15,000 (Appendix 8)
  - 3.) Education Services – £55,000 (Appendix 10)

4.) Pilot Summer School Enrichment Programme - Social Mobility –  
£100,000 (Appendix 13)

Note:

- The high-level list of all bids received – Table 1

### **Background on the Priorities Investment Pot**

1. The creation of a Priorities Investment Pot (PIP) for 2018/19 and future years was approved at the Court of Common Council on the 8th of March 2018, to be formed from the 2% efficiencies gained through implementation of the Corporate Efficiency and Sustainability Plan. This pot has allowed Members to allocate additional resources to front line services to fund new services and growth in demand and, importantly, support key corporate plan priorities for front line service users.
2. PIP allocations are focused on supporting front line services to fund priority areas of new activity aligned to the Corporate Plan or increased demand. The criteria for PIP bids are below:
  - A robust business case exists that explains the reason for the cost pressure, new demand pressures and / or new or innovative services;
  - The bid does not fund business as usual activity (which would be covered out of local risk); and
  - The proposal supports Corporate Plan priorities.
3. A decision to discontinue the PIP after the fourth round of bids in 2018/19 was approved by Members in the Medium-Term Financial Plan report at Finance Committee in February 2019. Therefore, this will be the last round of PIP bids.

### **Priorities Investment Pot Bids - Received**

4. A short template has been developed for bids, drawing on the key information identified in the opportunity outline, where relevant. All Chief Officers are aware of the criteria, governance arrangements, and schedule for receiving and considering PIP bids.
5. 13 PIP bids (see table 1) have been received from a range of departments as of 21st of December 2018 in the fourth round of the quarterly PIP bids for 2018/19. The Town Clerk and Chamberlain have reviewed all bids and placed them in the following categories:
  - Recommended – 7 bids totalling £569,000 to be spent over the next two financial years
  - Not Recommended/Consider alternate funding route – 6 bids totalling £658,000
6. Feedback has been provided, and alternative funding routes will be suggested by the Chamberlain's department to Chief Officers and their teams for those bids not recommended.

**Table 1 - Priorities Investment Pot Bids received by the August 2018 deadline**

Bid #	Depart	PIP Bid Name	Summit Recommendation (Recommended, Need More Info, Not Recommended)	2018/19 Bid Amount	2019/20 Bid Amount	2020/21 Bid Amount	Total Bid Amount
53	M&CP	Responsible Business Strategy – Air Quality	Recommended		£55,000	£55,000	£110,000
54	TC	Artwork Acquisition (income protection /generation)	Recommended		£14,000		£14,000
55	CSD	Use of Rooftops for Wireless Concession	Not Recommended		£35,000		£35,000
56	OSD	Epping Forest Lodge refurbishment for rental	Not Recommended		£123,000	£30,000	£153,000
57	GSMD	Online Digital Instrumental Teaching	Recommended - (50% grant / 50% loan)		£195,000		£195,000
58	DCCS	Dragon Café (Mental Health Group)	Recommended		£40,000	£40,000	£80,000
59	DBE	Responsive City - BI Reporting	Not Recommended		£120,000	£100,000	£220,000
60	DCCS	Social Worker and Systemic Practitioner	Part- Recommended		£15,000		£15,000
61	DCCS	Careers Festival	Not Recommended		£90,000		£90,000
62	DCCS	City of London Education Services Company (ESC)	Recommended		£55,000		£55,000
63	DCCS	Digital (DQ) and Fusion Assessment Tool	Not Recommended		£67,000	£3,000	£70,000
64	M&CP	Regulatory Hub for Business Support	Not Recommended		£70,000	£20,000	£90,000
65	DCCS	Pilot Summer School Enrichment Programme - Social Mobility	Recommended		£100,000		£100,000
<b>Total of Amount of PIP Bids - 4th Round</b>				<b>£0</b>	<b>£979,000</b>	<b>£248,000</b>	<b>£1,227,000</b>
<b>Total of Recommended PIP Bids</b>				<b>£0</b>	<b>£474,000</b>	<b>£95,000</b>	<b>£569,000</b>
<b>All Approved PIP Bids (1-3 rounds)</b>				<b>£1,287,330</b>	<b>£480,850</b>	<b>£227,200</b>	<b>£1,995,380</b>
<b>Total Approved bids</b>				<b>£1,995,380</b>			
<b>PIP Budget</b>				<b>£2,921,000</b>	<b>£0</b>	<b>£0</b>	<b>£0</b>
<b>Available PIP Budget</b>				<b>£925,620</b>			
<b>Total 4th Round - Recommended PIP Bids</b>				<b>£569,000</b>			
<b>Projected 2018/19 Remaining PIP Budget</b>				<b>£356,620</b>			

## Priorities Investment Pot Bids – Recommended

7. Having reviewed all bids, Summit Group recommend 7 for approval.

- Markets & Consumer Protection – Responsible Business Strategy – Air Quality – £110,000 (Appendix 1) - *To fund the delivery of the 3 workstreams (1-Annual air quality modelling / monitoring, 2-Engage City Businesses, 3- facilitate collaborative action on air pollution in London) of the Responsible Business Strategy.*
- Town Clerk’s - Artwork Acquisition (income protection/generation) – £14,000 (Appendix 2) - *To help fund the acquisition of a photographic installation by Liz Johnson Artur for the collection and for permanent display, and thus Guildhall Art Gallery currently has no means within its budget to properly grow its collection, diminishing its competitiveness and popularity amongst visitors, and impacting negatively on its reputation as a serious collector.*
- Guildhall School – Online Digital Instrumental Teaching - £195,000 (Appendix 5) – *To fund 50% of the total purchase amount (as the bid will be matched by School reserves) of new equipment and software to develop the Guildhall School’s capacity to deliver bespoke one to one online distance learning to children, students and adults in a variety of settings (including impacting social mobility) within London, around the UK and internationally. This bid will be a 50% grant and 50% loan to be paid payback over a period of 4 years, whilst investing and maintaining equipment throughout that period.*
- Community and Children Services – 4 bids
  - 1.) Dragon Café (Mental Health Group) – £80,000 (Appendix 6) - *To fund the Dragon Café in the City over the next two years as the pilot programme proved successful in delivering this service, which benefits the health and wellbeing of the City of London’s residents and workers.*
  - 2.) Social Worker and Systemic Practitioner – £15,000 (Appendix 8) – *To fund a qualified Systemic Practitioner to explore a new model for delivering Children Social Care Service while continuing to meet all statutory and prevention requirements in the year April 2019 to March 2020.*
  - 3.) Education Services Company – £55,000 (Appendix 10) – *To fund the feasibility of setting up an Education Services Company (ESC) to provide services to the Family of Schools and beyond. The ESC could potentially provide services to not only the Family of Schools but can also generate income by being a service provider to other schools across London and beyond.*
  - 4.) Pilot Summer School Enrichment Programme - Social Mobility – £100,000 (Appendix 13) – *To fund a children’s summer school enrichment programme pilot that has been designed in collaboration with internal and external partners with expertise in this area to specifically address clearly identified needs*

*experienced by up to 450 children and young people from lower socio-economic backgrounds at City of London Academy Highbury Grove as an outreach provision in Islington.*

8. Members should note that if these 7 recommended PIP bids are approved this would mean that a total of £2,564,380 of the 2018/19 budget would be committed to be spent over the next three years.

### **Priorities Investment Pot Bids – Not Recommended**

9. 6 bids totalling £658,000 are not recommended by Summit Group.
10. Below are the high-level reasons the bids were not recommended:
  - a. Use of Rooftops for Wireless Concession – Business as Usual (BAU) and should be funded from local risk.
  - b. Epping Forest Lodge refurbishment for rental – BAU and refurbishments are supported by Cyclical Works Programme (CWP). Further discussions taking place on position within the CWP.
  - c. Responsive City – Business Intelligence (BI) Reporting – Needs a more joined-up approach with the corporate BI project.
  - d. Careers Festival – Duplicates, requested baseline uplift.
  - e. Digital (DQ) and Fusion Assessment Tool – Duplicates, requested baseline uplift.
  - f. Regulatory Hub for Business Support – Members need to be consulted and agree a policy decision before this is progressed.
11. Feedback and alternate funding routes have been provided by the Chamberlain's department to Chief Officers and their teams for those bids not recommended.

### **Recommendations**

12. It is recommended that Members of Resource Allocation Sub-Committee approve funding for the 7 PIP bids totalling £569,000 to be spent over the next two financial years.

#### **Geoff Parnell**

Head of Project, Strategic Resources, Chamberlain's Department

T: 020 7332 1675

E: [Geoff.Parnell@cityoflondon.gov.uk](mailto:Geoff.Parnell@cityoflondon.gov.uk)

**Appendices: Priorities Investment Pot Bids received by the December 2018 deadline (available upon request)**

<b>Appendix 1:</b> Responsible Business Strategy – Air Quality (Markets & Consumer Protection)
<b>Appendix 2:</b> Artwork Acquisition (income protection/generation) (Town Clerks)
<b>Appendix 3:</b> Use of Rooftops for Wireless Concession (City Surveyors)
<b>Appendix 4:</b> Epping Forest Lodges refurbishment for rental (Open Spaces)
<b>Appendix 5:</b> Online Digital Instrumental Teaching (Guildhall School)
<b>Appendix 6:</b> Dragon Café (Mental Health Group) (Community and Children’s Services)
<b>Appendix 7:</b> Responsive City - BI Reporting (Built Environment)
<b>Appendix 8:</b> Social Worker and Systemic Practitioner (Community and Children’s Services)
<b>Appendix 9:</b> Careers Festival (Community and Children’s Services)
<b>Appendix 10:</b> City of London Education Services Company (Community and Children’s Services)
<b>Appendix 11:</b> Digital (DQ) and Fusion Assessment Tool (Community and Children’s Services)
<b>Appendix 12:</b> Regulatory Hub for Business Support (Markets & Consumer Protection)
<b>Appendix 13:</b> Pilot Summer School Enrichment Programme - Social Mobility (Community and Children’s Services)

**All bids have been scrutinised by Summit Group. If you require more information about a bid, please contact Geoff Parnell [Geoff.Parnell@cityoflondon.gov.uk](mailto:Geoff.Parnell@cityoflondon.gov.uk). Spend and outcomes of PIP funding will be monitored by Chamberlains.**

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